UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. NE1.008

Total Pages in this Submission 25

### TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450

|       |  |             |  |          |            |        | Alexandria, V    | /A 22313-1450  |                                |                  |  |  |
|-------|--|-------------|--|----------|------------|--------|------------------|----------------|--------------------------------|------------------|--|--|
|       |  |             |  | r filing | under 35   | U.S    | .C. 111(a) and   | 37 C.F.R. 1.53 | (b) is a new utility patent ap | plication for an |  |  |
| IIIVE | DEVICE FOR SELECTIVELY BLOCKING REMOTE PURCHASE REQUESTS |             |  |          |            |        |                  |                |                                |                  |  |  |
| and   | invente  | ed by       |  |          |            |        |                  |                | •                              | 22387            |  |  |
| •     | Thom   | as A.       | Findley  | . 222    |            |        |                  |                |                                |                  |  |  |
| If a  | CONT   | INUA        | TION A   | PPLI     | CATION,    | chec   | ck appropriate b | ox and supply  | the requisite information:     |                  |  |  |
|       | Cont   | inuat       | ion 🛭  | ☑ Div    | visional   |        | Continuation     | -in-part (CIP) | of prior application No.:      | 09/513,608       |  |  |
|       | ch is a  Conti  ch is a                                  | inuat       | ion 🛭  | ⊴ Di     | visional   |        | Continuation     | -in-part (CIP) | of prior application No.:      | 09/017,403       |  |  |
|       | Cont   |             | ion [  | ] Di     | visional   |        | Continuation     | -in-part (CIP) | of prior application No.:      |                  |  |  |
| Enc   | losed a  | are:        |  |          |            |        |                  |                |                                |                  |  |  |
| •     |  |             |  |          |            |        | Applicatio       | n Elements     |                                |                  |  |  |
| ,     | l. 🛚   | Filin       | ig fee as  | s calc   | ulated and | d trai | nsmitted as des  | cribed below   |                                |                  |  |  |
| 2     | 2. 🗵   | Spe         | ecification having   |          |            |        | 13               | _ pages and ir | ncluding the following:        |                  |  |  |
|       | a.   | ×           | Descriptive Title of the Invention   |          |            |        |                  |                |                                |                  |  |  |
|       | b.   | $\boxtimes$ | Cross References to Related Applications (if applicable)                       |          |            |        |                  |                |                                |                  |  |  |
|       | C.   |             | Statement Regarding Federally-sponsored Research/Development (if applicable)   |          |            |        |                  |                |                                |                  |  |  |
|       | d.   |             | Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix |          |            |        |                  |                |                                |                  |  |  |
|       | e.   | $\boxtimes$ | Background of the Invention  |          |            |        |                  |                |                                |                  |  |  |
|       | f.   | X           | Brief Summary of the Invention   |          |            |        |                  |                |                                |                  |  |  |
|       | g.   | $\boxtimes$ | Brief Description of the Drawings (if filed)                                   |          |            |        |                  |                |                                |                  |  |  |
|       | h.   | $\boxtimes$ | Detailed Description   |          |            |        |                  |                |                                |                  |  |  |
|       | i.   | X           | Claim(s) as Classified Below   |          |            |        |                  |                |                                |                  |  |  |
|       | j.   | X           | Abstra   | ct of t  | he Disclo  | sure   |                  |                |                                |                  |  |  |

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|     | Application Elements (Continued) |  |  |  |  |  |  |  |  |  |  |  |  |  |
|-----|----------------------------------|--|--|--|--|--|--|--|--|--|--|--|--|--|
| 3.  | X                                | Drawing(s) (when necessary as prescribed by 35 USC 113)  |  |  |  |  |  |  |  |  |  |  |  |  |
|     | a.                               | □ Formal Number of Sheets 3  |  |  |  |  |  |  |  |  |  |  |  |  |
|     | b.                               | ☐ Informal Number of Sheets  |  |  |  |  |  |  |  |  |  |  |  |  |
| 4.  | $\boxtimes$                      | Oath or Declaration  |  |  |  |  |  |  |  |  |  |  |  |  |
|     | a.                               | □ Newly executed (original or copy) □ Unexecuted   |  |  |  |  |  |  |  |  |  |  |  |  |
|     | b.                               | ☑ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)  |  |  |  |  |  |  |  |  |  |  |  |  |
|     | C.                               | ☐ With Power of Attorney ☐ Without Power of Attorney   |  |  |  |  |  |  |  |  |  |  |  |  |
|     | d.                               | ☐ <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).  |  |  |  |  |  |  |  |  |  |  |  |  |
| 5.  |                                  | Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |  |  |  |  |  |  |  |  |  |  |  |  |
| 6.  |                                  | CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)  |  |  |  |  |  |  |  |  |  |  |  |  |
| 7.  |                                  | , pp. 100 (100 cm  |  |  |  |  |  |  |  |  |  |  |  |  |
| 8.  |                                  | Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)   |  |  |  |  |  |  |  |  |  |  |  |  |
|     | a.                               | ☐ Computer Readable Form (CFR)   |  |  |  |  |  |  |  |  |  |  |  |  |
| ٠   | b.                               | ☐ Specification Sequence Listing on:   |  |  |  |  |  |  |  |  |  |  |  |  |
| •   |                                  | i.   CD-ROM or CD-R (2 copies); or   |  |  |  |  |  |  |  |  |  |  |  |  |
|     |                                  | ii. 🔲 Paper  |  |  |  |  |  |  |  |  |  |  |  |  |
|     | C.                               | Statement(s) Verifying Identical Paper and Computer Readable Copy  |  |  |  |  |  |  |  |  |  |  |  |  |
|     | Accompanying Application Parts   |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 9.  |                                  | Assignment Papers (cover sheet & document(s))  |  |  |  |  |  |  |  |  |  |  |  |  |
| 10. |                                  | 37 CFR 3.73(B) Statement (when there is an assignee)   |  |  |  |  |  |  |  |  |  |  |  |  |
| 11. |                                  | English Translation Document (if applicable)   |  |  |  |  |  |  |  |  |  |  |  |  |
| 12. |                                  | Information Disclosure Statement/PTO-1449   Copies of IDS Citations  |  |  |  |  |  |  |  |  |  |  |  |  |
| 13. |                                  | Preliminary Amendment  |  |  |  |  |  |  |  |  |  |  |  |  |
| 14. | X                                | Return Receipt Postcard (MPEP 503) (Should be specifically itemized)   |  |  |  |  |  |  |  |  |  |  |  |  |
| 15. |                                  | Certified Copy of Priority Document(s) (if foreign priority is claimed)  |  |  |  |  |  |  |  |  |  |  |  |  |
| 16. |                                  | Certificate of Mailing   |  |  |  |  |  |  |  |  |  |  |  |  |
|     |                                  | ☐ First Class ☒ Express Mail (Specify Label No.): EV077707546US  |  |  |  |  |  |  |  |  |  |  |  |  |
|     |                                  | ·  |  |  |  |  |  |  |  |  |  |  |  |  |

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#### **Accompanying Application Parts (Continued)**

| 17. | $\boxtimes$ | Applicant claims small entity status. See 37 CFR 1.27.  |  |  |  |  |  |  |  |
|-----|-------------|---|--|--|--|--|--|--|--|
|     |             | ☑ (Optional) Small Entity Statement(s) - Specify Number of Statements Submitted: 1                                      |  |  |  |  |  |  |  |
| 18. | X           | Additional Enclosures (please identify below):  |  |  |  |  |  |  |  |
|     |             | Power of Attorney by Assignee Notice of Appeal from the Primary Examiner to the Board of Patent Appeals & Interferences |  |  |  |  |  |  |  |
|     |             |   |  |  |  |  |  |  |  |
|     |             |   |  |  |  |  |  |  |  |
|     |             |   |  |  |  |  |  |  |  |

### Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

19. Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

#### Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

### **UTILITY PATENT APPLICATION TRANSMITTAL** (Small Entity)

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#### Fee Calculation and Transmittal

#### **CLAIMS AS FILED** For #Filed #Allowed #Extra Rate Fee **Total Claims** 3 - 20 = \$0.00 0 \$9.00 Indep. Claims 1 - 3 = 0 \$43.00 \$0.00 х Multiple Dependent Claims (check if applicable) \$0.00 **BASIC FEE** \$385.00 OTHER FEE (specify purpose) \$165.00 Notice of Appeal **TOTAL FILING FEE** \$550.00

A check in the amount of

\$550.00

to cover the filing fee is enclosed.

☑ The Director is hereby authorized to charge and credit Deposit Account No. as described below.

50-1152

☐ Charge the amount of

as filing fee.

☑ Credit any overpayment.

☑ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.

☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance,

pursuant to 37 C.F.R. 1.311(b).

Dated:

February 18, 2004

Timothy E. Siegel

1868 Knapps Alley, Suite 206

West Linn, OR 97068-4644

CC: Network Sciences Company, Inc.

